

Fig. 1

210

FIG. 3

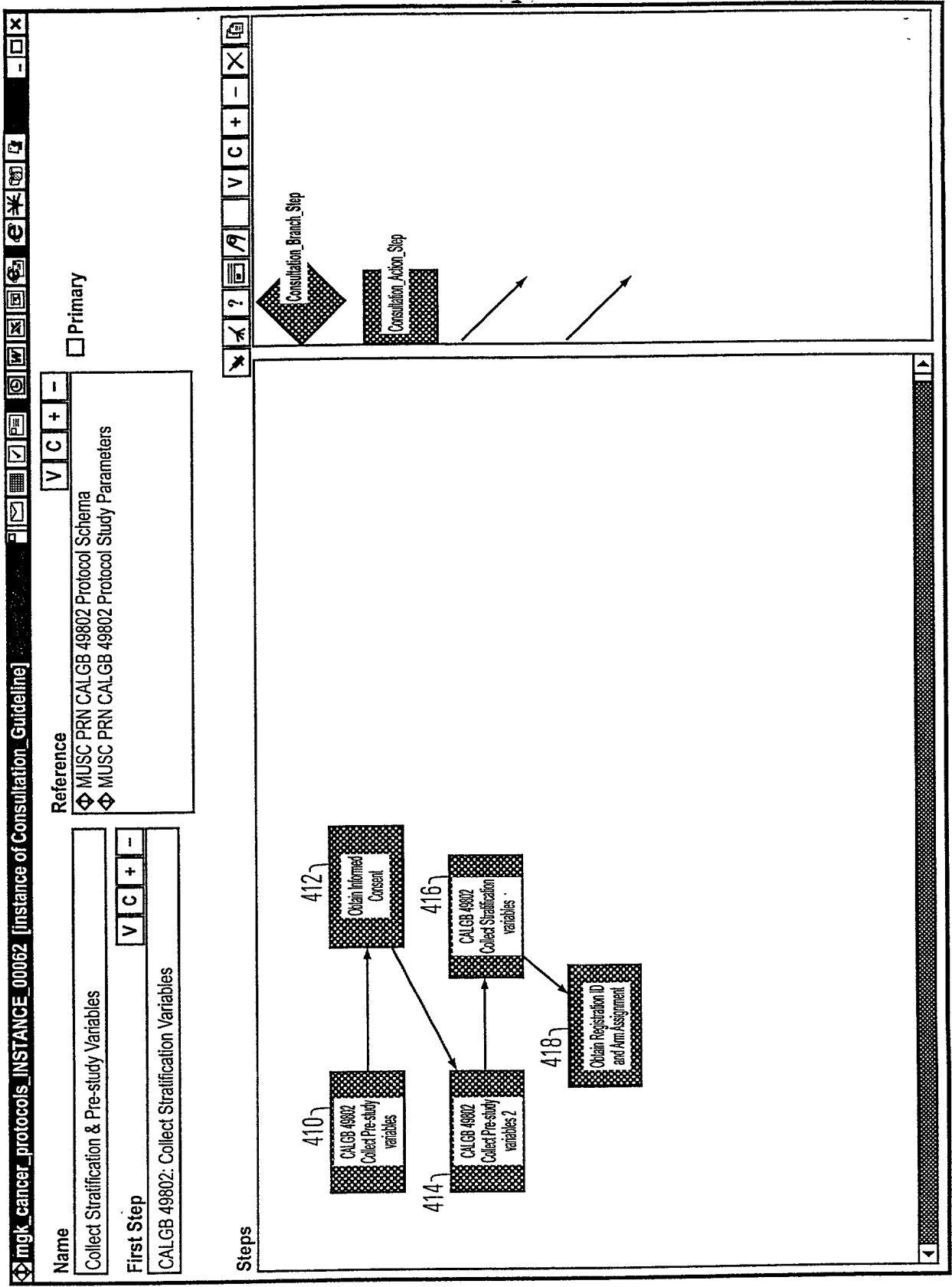


FIG. 4

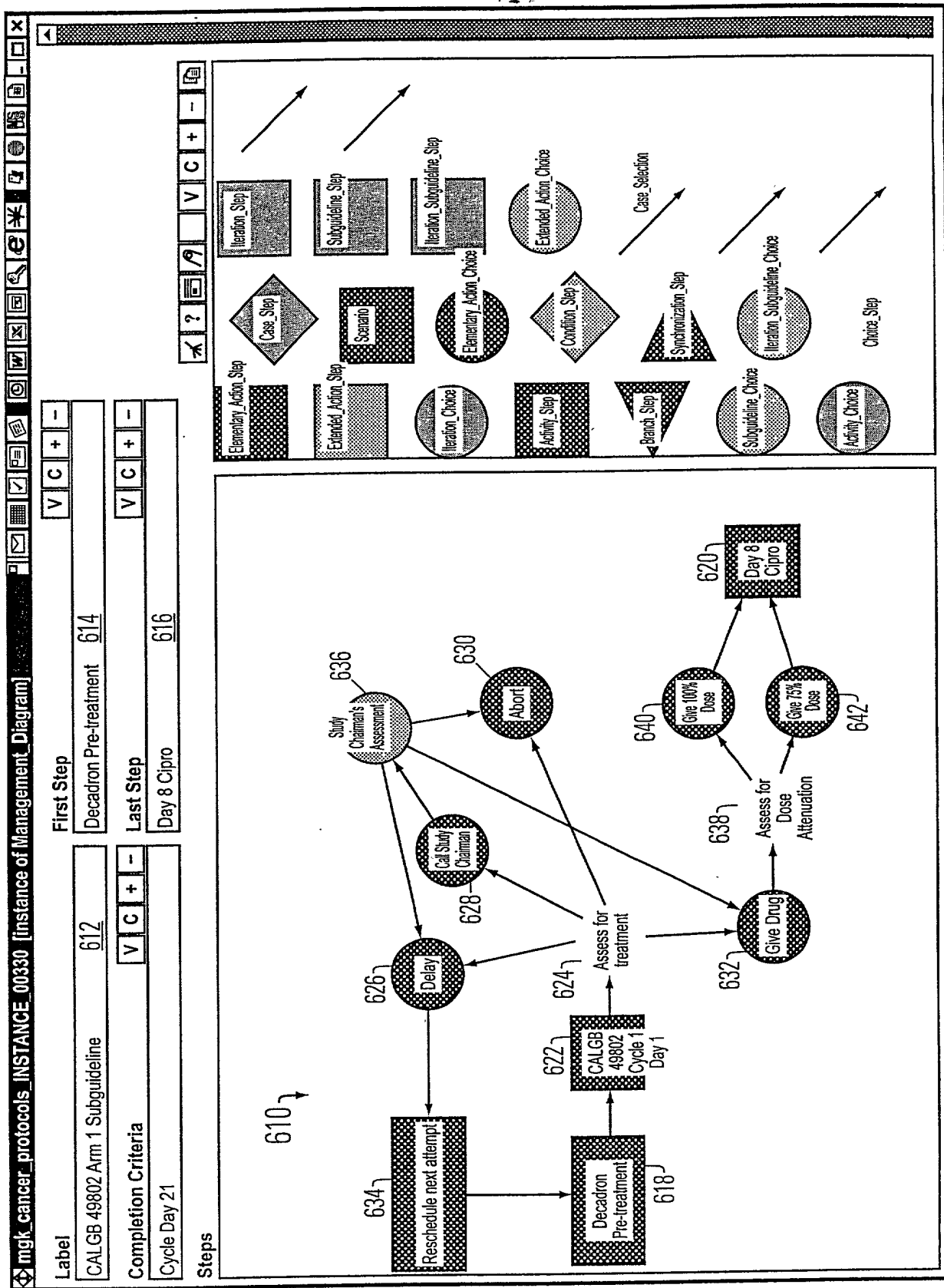


FIG. 6

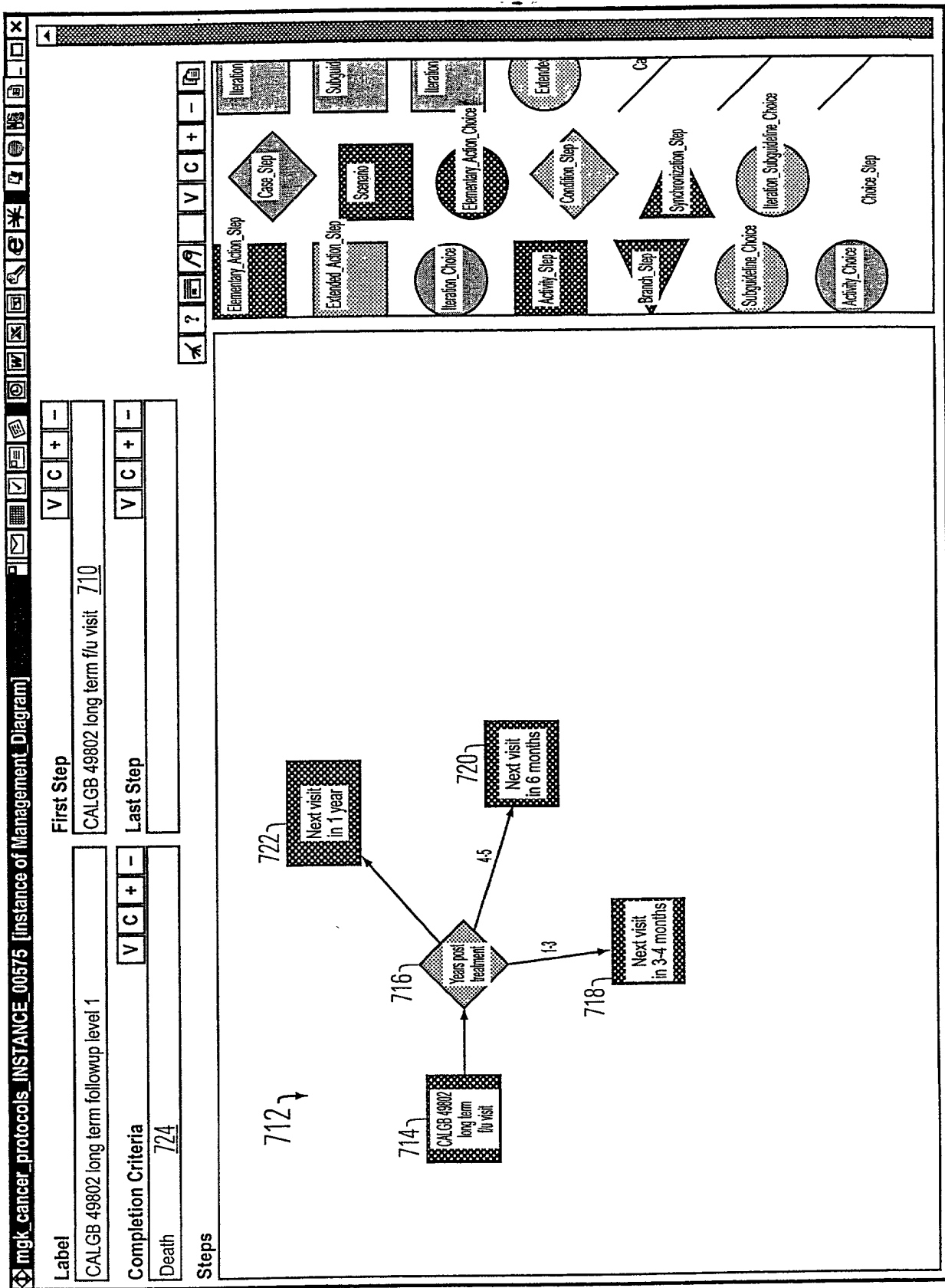


FIG. 7

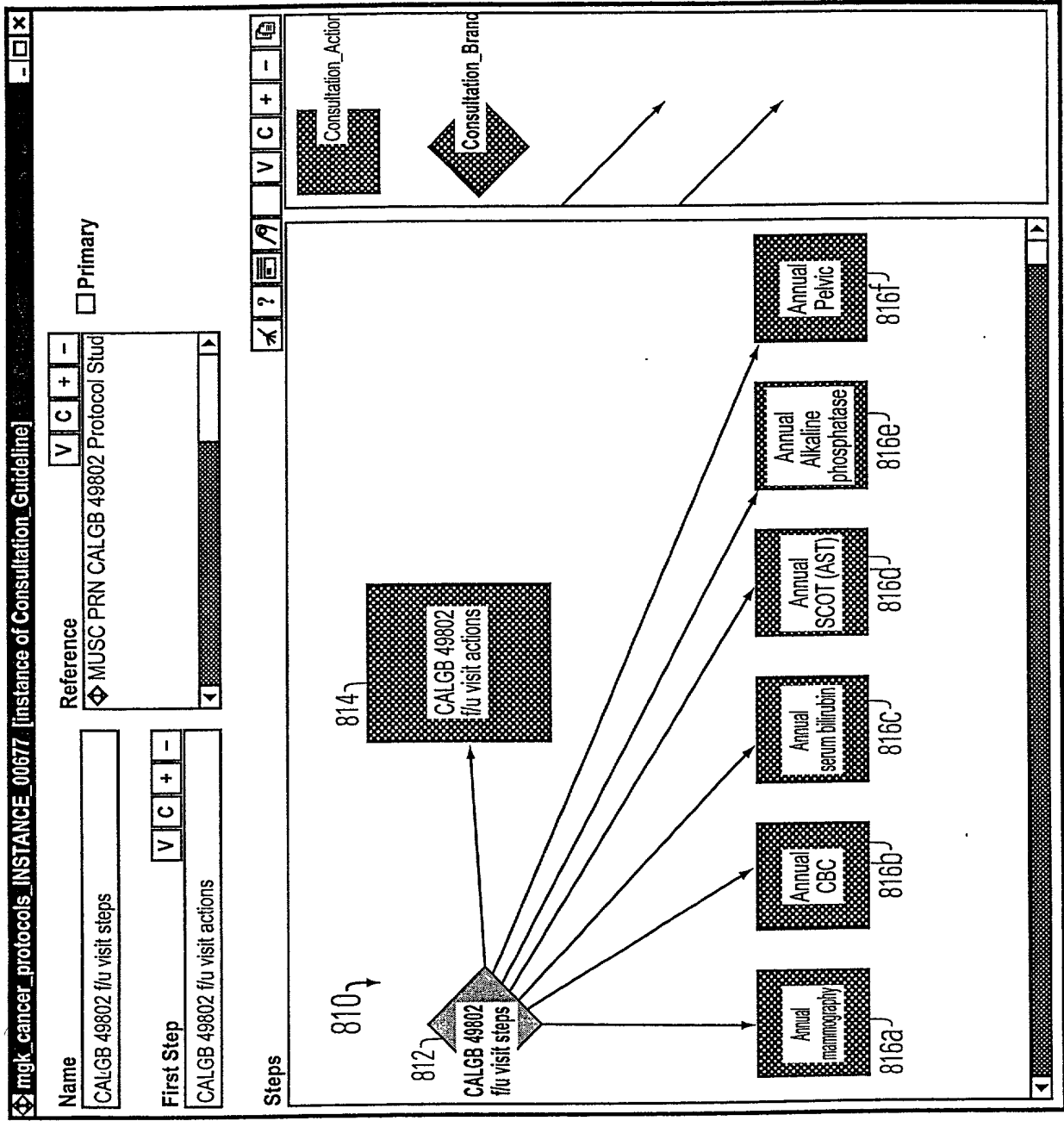


FIG. 8

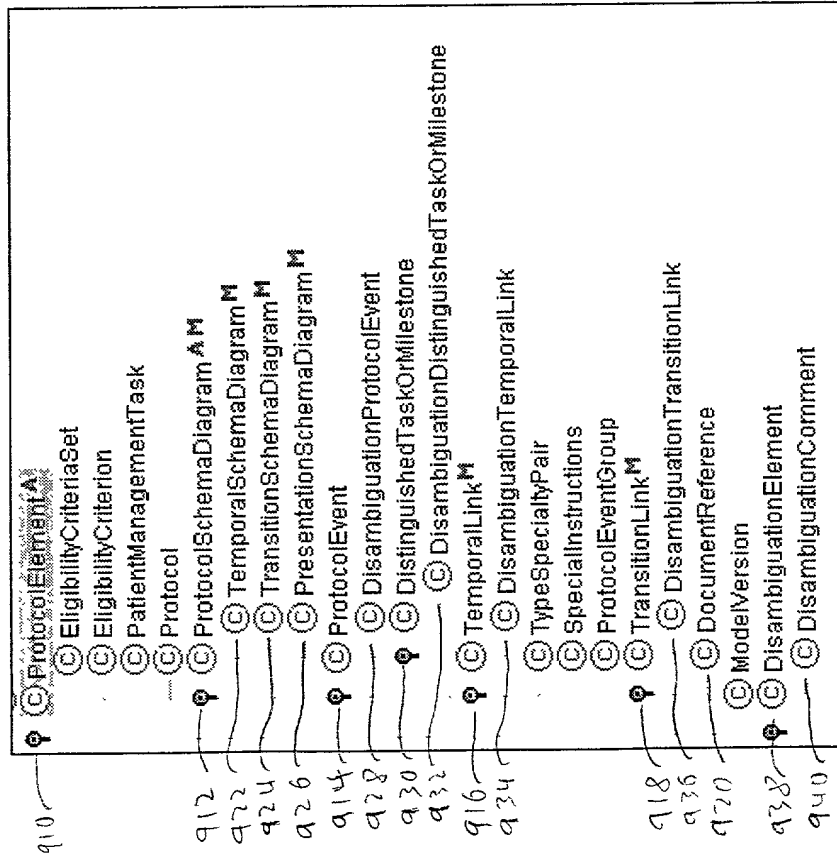


Fig. 9

[illegible]

Fig. 11

TOP OF PAGE 1344650

FastTrack Protocol_INSTANCE_00212 [instance of Protocol]		
ProtocolTitle A Phase III Study of Paclitaxel via Weekly 1-Hour Infusion v	Version Update #1	
ProtocolIdentifier CALGB 9840	VersionDate December 15, 1998	
OfficialSourceDocument http://pm.musc.edu/research/protocol/deptmed/divhonc/bi	EligibilityCriteriaSet V C + - CALGB 9840 Eligibility Criteria 1212	
ShortDescription CALGB 9840	LongDescription	
StudyChair Andrew D. Seidman, M.D.		
Sponsor CALGB		
QuickScreenCriterion Breast Cancer		
Sponsor To compare "standard" (S) paclitaxel at 175 mg/m2 via 3-hour infusion every 3 weeks to "dose-dense" (DD) paclitaxel at 80 mg/m2 via 1-hour infusion every week		
TrialStatus Active	AccrualStatus Open for accrual	FirstVisit V C + - Screening Visit
TrialPhase Phase III	TrialType Cooperative group	ProtocolSchemaDiagram V C + - CALGB 9840 Schema 1214

FIG. 12

914

ProtocolEvent

Role

Concrete

Documentation

This class is used to represent a single patient visit during the course of a clinical protocol.

Constraints

Template Slots

Name	Type	Cardinality	Other Facets
<input checked="" type="checkbox"/> disambiguationComments	Instance	multiple	classes={DisambiguationComment}
<input checked="" type="checkbox"/> drillDown	Boolean	single	default={false}
<input checked="" type="checkbox"/> encodingComments	String	single	
<input checked="" type="checkbox"/> eventType	Symbol	single	allowed-values={Screening,Treatme...}
<input checked="" type="checkbox"/> incomingLinks	Instance	multiple	classes={TemporalLink}
<input checked="" type="checkbox"/> isMilestone	Boolean	single	default={false}
<input checked="" type="checkbox"/> longDescription	String	single	
<input checked="" type="checkbox"/> managementTasks	Instance	multiple	classes={PatientManagementTask}
<input checked="" type="checkbox"/> outgoingLinks	Instance	multiple	classes={TemporalLink}
<input checked="" type="checkbox"/> shortDescription	String	required single	

1010

1312

1012

1310

1314

1014

Fig. 13

09974781-101001

2 day f/u for Visit 1 (DisambiguationProtocolEvent)

ShortDescription

2 day f/u for Visit 1

LongDescription

These labs must be obtained in the morning.

IncomingLinks

Visit 1 to Visit 1 f/u

OutgoingLinks

Event Type

Treatment

ManagementTasks

Phone F/U

Creatinine

Ionized Ca

Mg

PO4

CBC with Diff and plt

EncodingComments

DisambiguationComments

Inconsistent tasks in tx plan and assessment

1410

Fig. 14

9167

TemporalLink (Connector Metaclass)

Name:

Role:

Constraints: ☐ ☒ ☐ ☐

Documentation: This class a temporal constraint or anchoring between two visits.

Template Slots:

Name	Type	Cardinality	Other Facets
<input checked="" type="checkbox"/> disambiguationComments	Instance	multiple	classes={DisambiguationComment}
<input checked="" type="checkbox"/> dominant	Boolean	single	default={false}
<input checked="" type="checkbox"/> drillDown	Boolean	single	default={false}
<input checked="" type="checkbox"/> encodingComments	String	single	
<input checked="" type="checkbox"/> first_object <input checked="" type="checkbox"/>	Instance	single	classes={ProtocolEvent}
<input checked="" type="checkbox"/> longDescription	String	single	
<input checked="" type="checkbox"/> maximumRelativeOffset	Integer	single	
<input checked="" type="checkbox"/> minimumRelativeOffset	Integer	single	
<input checked="" type="checkbox"/> offsetUnits	Symbol	required single	allowed-values={Years,Months,Week}
<input checked="" type="checkbox"/> preferredRelativeOffset	Integer	single	
<input checked="" type="checkbox"/> second_object <input checked="" type="checkbox"/>	Instance	single	classes={ProtocolEvent}
<input checked="" type="checkbox"/> shortDescription	String	required single	

Fig. 15

Screening to Rheumatoid Factor (TemporalLink)

ShortDescription

Screening to Rheumatoid Factor

FromEvent (first object)

Screening

V C + -

PreferredRelativeOffset

InEvent (second object)

Rheumatoid Factor

V C + -

MinimumRelativeOffset

-180

DisambiguationComments

V C + -

MaximumRelativeOffset

-1

EncodingComments

OffsetUnits

Days

☐ Dominant

Fig. 16

FastTrack Protocol Protégé-2000 [C:\My Documents\Latest FastTrack RDF + CALCB 9840\FastTrack Protocol.ppt]

Project Edit Window Help

Classes Forms Instances

Relationship: Subclass V C X

THING A

SYSTEM-CLASS A

Diagram_Entity

Date

ProtocolElement A 1112

EligibilityCriteriaSet 1124

EligibilityCriterion 1130

PatientManagementTask

Protocol 1116

ProtocolSchemaDiagram M

Visit 1128

VisitToVisitTransition M 1132

DiseaseArea

App

WeightedPath

ApplicationArea

VisitCycle

Disease A

DiseaseQualifiers A

ModelVersion

© Visit (instance of rdfs:Class)

Name

Visit

Constraints

V C + -

Documentation

An actual encounter between the provider and a patient on study. A number of possible visits are associated with a study (Protocol).

Role

Concrete

Template Slots

Name	Type	Cardinality	Default	Other Facets
dataManagementTasks	1716 Instance	Multiple		classes={ManagementTask}
longDescription	String	Single		
patientManagementTasks	Instance	Multiple		classes={ManagementTask}
possibleVisitTransitions	1714 Instance	Multiple		classes={VisitToVisitTransition}
rdfs:isDefinedBy	1712 Instance	Single		classes={URI,rdfs:Resource}
rdfs:seeAlso	1712 Instance	Single		classes={URI,rdfs:Resource}
resource uri	Instance	Single		classes={URI}
shortDescription	String	Single		
siteLongDescription	String	Single		
siteShortDescription	String	Single		

Rdfs:isDefinedBy

V C + -

Rdfs:seeAlso

V C + -

Resource Uri

66 1710

Superclasses

+ -

FastTrackClass

FIG. 17

FastTrack Protocol_INSTANCE_00014 [instance of Visit]	
ShortDescription <div>Arm A Treatment Visit</div>	PossibleVisitTransitions <div> <div>Arm A Treatment to Arm A Treatment Retry #1</div> <div>Arm A Treatment to Long Term Followup</div> <div>Arm A Treatment Visit to Arm A Treatment Visit</div> </div>
DataManagementTasks <div> <div>Submit Form C-116</div> <div>Submit Form C-118</div> <div>Submit Form C-080</div> <div>Submit Form C-344 + Form C-080 (*)</div> <div>Submit Form C-344 + Form C-272 (*)</div> <div>Submit Form C-113 (*)</div> <div>Submit Form C-260 (*)</div> <div>Submit Form C-300 (*)</div> </div>	PatientManagementTasks <div> <div>Confirm granulocytes >= 1500 / ul</div> <div>Confirm no G-CSF given in past 24 hours</div> <div>Give Dexmethosone 10 mg IV, 30 minutes</div> <div>Give Diphenhydramine 50 mg IV, 30 minutes</div> <div>Give Cimetidine 300 mg IV, 30 minutes</div> <div>Give anti-emetics (*)</div> <div>Give Arm A Paclitaxel treatment</div> <div>Give G-CSF (*)</div> <div>Evaluate Patient Response</div> <div>Schedule next visit</div> </div>
LongDescription <div>Arm A of the CALG 9840 consists of treatment with Paclitaxel 175 mg/m2 administered as a 3 hour infusion intravenously every three weeks. One cycle is equivalent to one infusion. Treatment cycles will be repeated every 21 days as long as the patient has stable or responding disease. Granulocyte count must be >= 1500/ul and platelet count must be >= 100,000 / ul on day 1 of each cycle. Patients should receive a minimum of two cycles of therapy, unless there is rapid disease progression (>50% increase in product of bi-dimensional measurements).</div>	
SiteLongDescription <div></div>	
SiteShortDescription <div></div>	

FIG. 18

FastTrack Protocol Protégé-2000 [C:\My Documents\Latest FastTrack RDF + CALGB 9840\FastTrack Protocol.pprj]

Project Edit Window Help

Classes Forms Instances

Relationship: Subclass V C X

THING A

SYSTEM-CLASS A

Diagram_Entity

Dale

ProtocolElement A 1112

EligibilityCriteriaSet 1124

EligibilityCriterion 1130

PatientManagementTask 1116

Protocol 1128

ProtocolSchemaDiagram M 1132

Visit 1128

VisitToVisitTransition M 1132

DiseaseArea

WeightedPath

ApplicationArea

VisitCycle

Disease A

DiseaseQualifiers A

ModelVersion

ManagementTask (instance of rdfs:Class)

Name

ManagementTask

Constraints

V C + -

Documentation

A task related to this visit. Includes:
checks that tasks prior to this visit
occurred, oks that tasks performed
during this visit were done, or
reminders for tasks to perform before

Role

Concrete

Template Slots

Name	Type	Cardinality	Default	Other Facets
longDescription	Symbol	Single		values={Medium,High,Low}
longDescription	String	Single		
rdfs:isDefinedBy	Instance	Single		classes={URI,rdfs:Resource}
rdfs:seeAlso	Instance	Single		classes={URI,rdfs:Resource}
resource uri	Instance	Single		classes={URI}
shortDescription	String	Single		
siteLongDescription	String	Single		
siteShortDescription	String	Single		

Rdfs:isDefinedBy

V C + -

Rdfs:seeAlso

V C + -

Resource Uri

66 5 V + -

Superclasses

+ -

FastTrackClass

FIG. 19

FastTrack Protocol_INSTANCE_00206 [Instance of ManagementTask]

ShortDescription

Give Arm A Paclitaxel treatment

LongDescription

Give Paclitaxel 175 mg/m² IV, 3hours. This treatment is given to patients in Arm A of the CALGB 9840 protocol. It is given once every 3 weeks. One cycle is equivalent to one infusion. Granulocyte count must be $\geq 1500/\mu\text{l}$ and platelet count must be $\geq 100,000/\mu\text{l}$ on day 1 of each cycle in order to proceed with the Paclitaxel infusion. Patients must receive the pre-medication prior to Paclitaxel infusion. If either the granulocyte or platelet count are not adequate, do not continue with treatment. Patients should receive a minimum of 2 cycles unless there is rapid disease progression.

Expected toxicities:

The dose-limiting toxicity of Paclitaxel is neutropenia. Other known toxicities include nausea and vomiting, diarrhea, stomatitis, mucositis, pharyngitis, typhilitis, ischemic colitis, bradycardia, atrial arrhythmia, hypotension, hypertension, sensory (taste), peripheral neuropathy, seizures, mood, hepatic encephalopathy, acute anaphylactoid and urticarial reactions, flushing, rash, pruritis, increased SGOT, SGPT, bilirubin and/or alkaline phosphatase, hepatic failure, hepatic necrosis, alopecia, fatigue, arthralgia, myalgia, light-headedness, myopathy, visual changes (sensation of flashing lights, blurred vision). Local infiltration with Paclitaxel will cause mild local symptoms (erythema, discomfort, induration) that usually resolve within a week. If infiltration occurs, there is the rare possibility of ulceration or rash. Seizure have been reported rarely in association with Paclitaxel use.

Dose Modifications:

Allergic reactions: Patients with grade 1 or 2 allergic reactions may have treatment continued without modifications. Patients with grade 3 or 4 allergic reactions who are responding to treatment may remain on protocol therapy after discussion with Study Chair. Such patients are at risk for recurrent allergic reactions. As a first maneuver, retreatment after premedication with oral recurrent allergic reactions. As a first maneuver, retreatment after premedication with oral dexamethasone 20 mg at 12 and 6 hours pre-administration of Paclitaxel, along with IV H1 and H2-receptor antagonist should be attempted. If necessary, thereafter, infusion rate adjustments will be considered and additional premedications will be administered. These patients must be informed of the potential risks of recurrent allergic reactions and must be carefully monitored.

Hematologic Toxicity: Patients are to be managed as clinically indicated. Colony stimulation factors (G-CSF) should be used in the manner described below. Evaluation should be discussed with the Study Chair.

SiteLongDescription

FIG. 20

TOP OF PAGE

FastTrack Protocol_INSTANCE_00196 [instance of ManagementTask]

ShortDescription

Submit Form C-116

LongDescription

Submit CALGB Advanced Breast Cancer Followup-form (C-116) every two cycles while on protocol therapy, at 6 & 12 months after end of treatment, at disease progression or initiation of non-protocol therapy.

SiteLongDescription

SiteShortDescription

FIG. 21

FastTrack Protocol Protégé-2000 [C:\My Documents\Latest FastTrack RDF + CALGB 9840\FastTrack Protocol.ppr]

Project Edit Window Help

Classes Forms Instances

Relationship: Subclass V C X

THING

SYSTEM-CLASS

Diagram_Entity

Date

ProtocolElement

EligibilityCriteriaSet

EligibilityCriterion

PatientManagementTask

Protocol

ProtocolSchemaDiagram

Visit

VisitToVisitTransition

DiseaseArea

WeightedPath

ApplicationArea

VisitCycle

Disease

DiseaseQualifiers

ModelVersion

VisitToVisitTransition (instance of Connector_Metaclass)

Name

VisitToVisitTransition

Constraints

V C + -

Documentation

A one-way link between a source visit (first_object) and a target visit (second_object). The inherited descriptions specify guidance about when/how/why to make this transition.

V C + -

Role

Concrete

Template Slots

Name	Type	Cardinality	Default	Other Facets
first_object	Instance	Single		
longDescription	String	Single		
maximumRelativeTime	String	Single		
minimumRelativeTime	String	Single		
preferredRelativeTime	String	Single		
rdfs:isDefinedBy	Instance	Single		
rdfs:seeAlso	Instance	Single		
resource uri	Instance	Single		
second_object	Instance	Single		
shortDescription	String	Single		
siteLongDescription	String	Single		
siteShortDescription	String	Single		

classes={URI,rdfs:Resource}
classes={URI,rdfs:Resource}
classes={URI}
classes={Visit}

First Object Slot Pointer

V C + -

Second Object Slot Pointer

V C + -

Rdfs:isDefinedBy

V C + -

Rdfs:seeAlso

V C + -

Superclasses

+ -

Transition

FIG. 22

0594734-101001

1818

FastTrack Protocol_INSTANCE_00023 [instance of VisitToVisit Transition]	
ShortDescription	PreferredRelativeTime
Arm A Treatment to Arm A Treatment Retry #	7
First Object	MaximumRelativeTime
V C + - Arm A Treatment Visit	7
Second Object	MinimumRelativeTime
V C + - Arm A Treatment Retry #1	7
LongDescription	
If either granulocyte or platelet count are not adequate, blood counts should be repeated weekly and treatment should be instituted when there has been hematologic recovery. Patients receiving G-CSF are not eligible for re-treatment unless they have been off G-CSF for a minimum of 24 hours.	
SiteLongDescription	<input checked="" type="checkbox"/> Is Preferred Transition
SiteShortDescription	

2310

FIG. 23

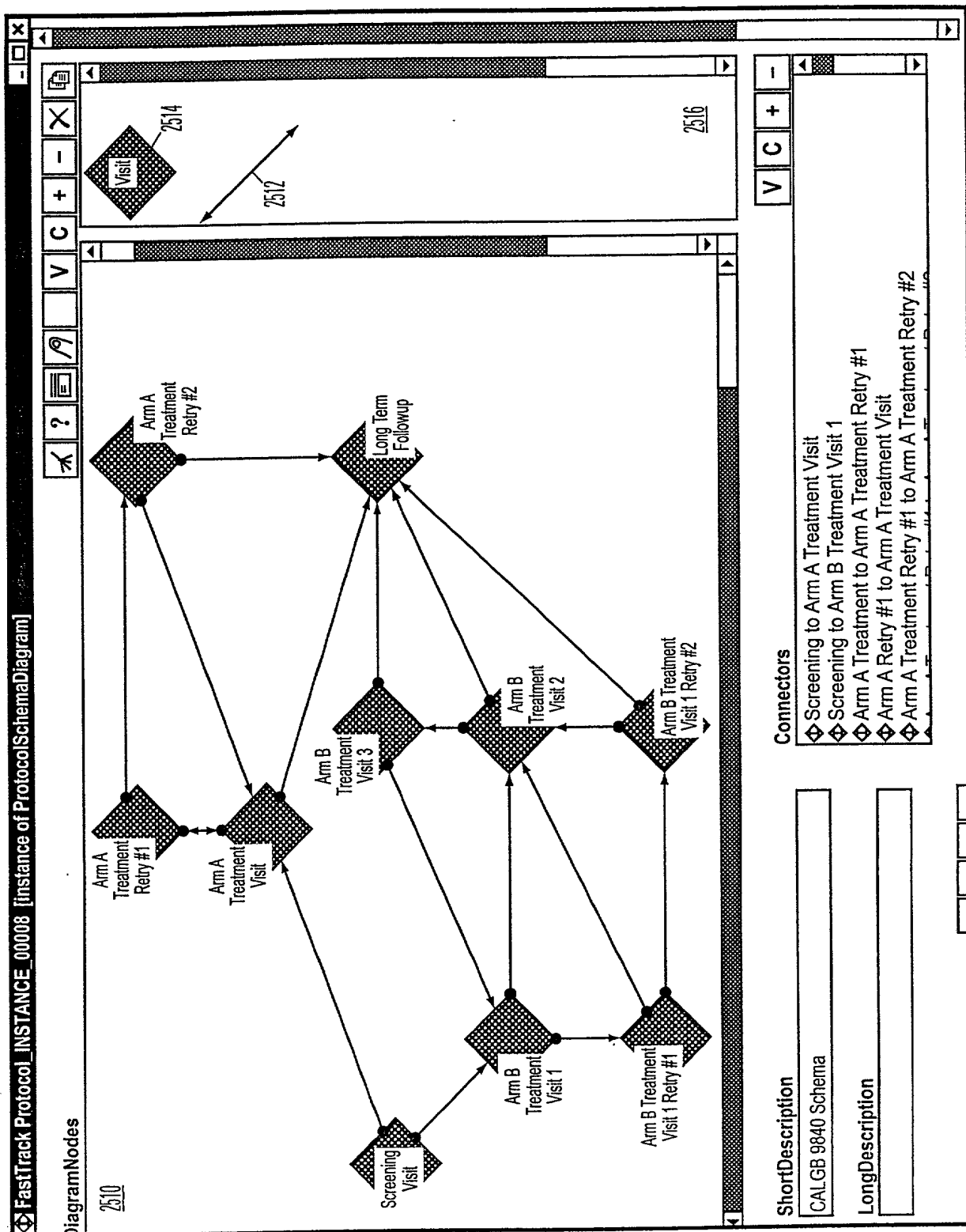


FIG. 25

940 →

DisambiguationComment

Name: DisambiguationComment

Documentation:

Constraints:

Role: Concrete

Template Slots:

Name	Type	Cardinality	Other Facets
conceptualProtocolSection	Symbol	multiple	allowed-values=(Protocol Summary,...
documentReferences	Instance	multiple	classes=(DocumentReference)
Impact Type	Symbol	multiple	allowed-values=(Safety,Efficacy-pirr...
Issue	String	single	
Potential Impact	String	single	
Protocol text	String	single	
Recommendation	String	single	
Severity Level	Symbol	single	allowed-values=(Level One,Level Tw...
Short Description	String	single	

2610
2612
2614
2616
2618
2620
2622
2624

Fig. 26

05974784-101001

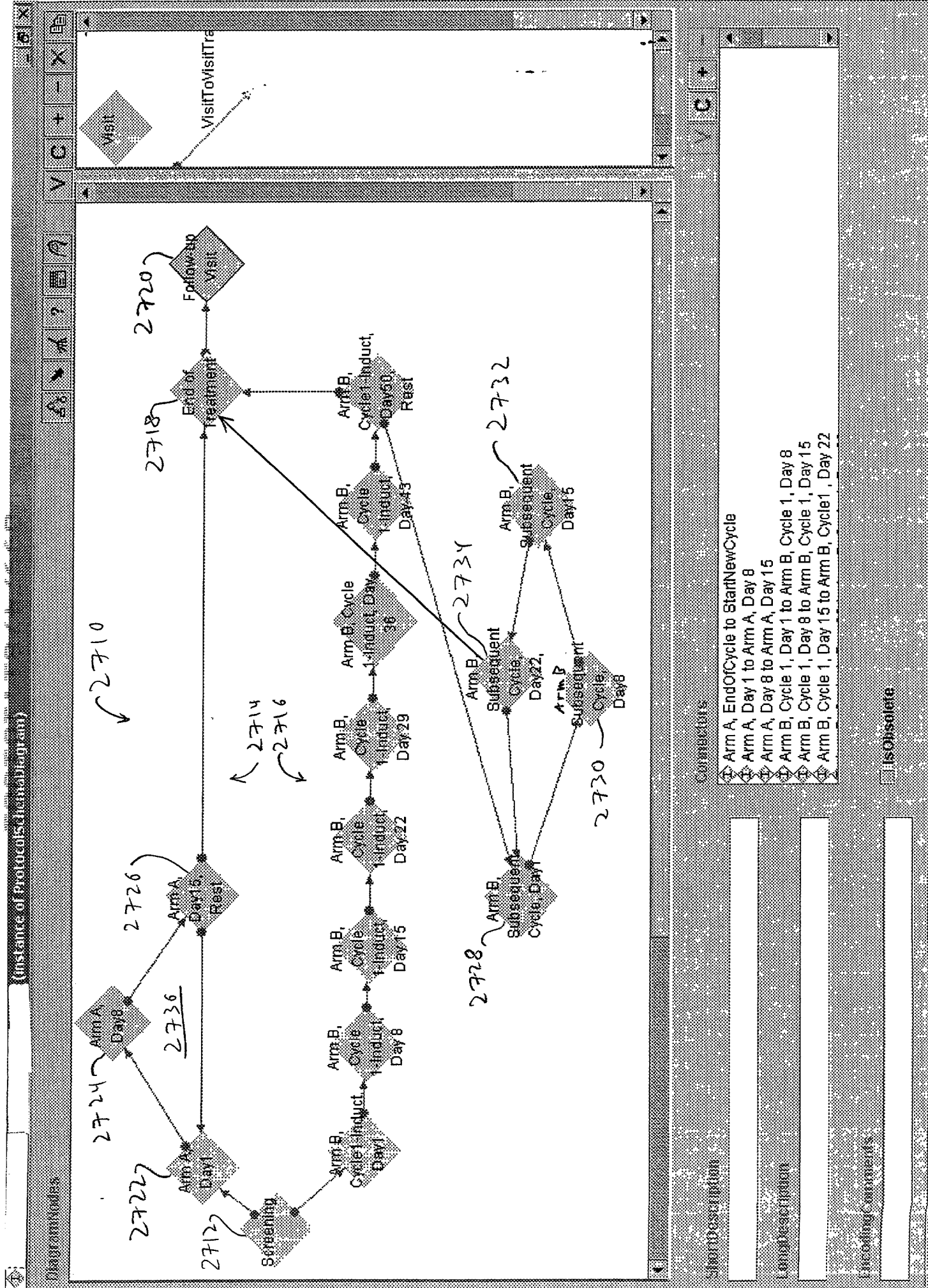


Fig. 27

Protocol Protégé-2000 (D:\Work\Sample\Protocol.ppt)

Project Edit Window Help

Classes SH State Forms Instances

Relationship Summary V C O X

Arm Instances of STANDARD CLASS

Relationship Summary V C O X

Arm

Rule Concrete

Template Slots

Name	Type	Cardinality	Default	Other Facets
Si drillDown	Boolean	single	false	
Si encodingComments	String	single		
Si isComplete	Boolean	single	false	
Si longDescription	String	single		
Si obsoleteVisits	Instance	multiple		classes=(Visit,VisitCycle)
Si shortDescription	String	single		
Si Visits	Instance	multiple		classes=(Visit,VisitCycle)

2810

1126

1110

1112

1124

1130

1116

1128

1132

1150

1152

1154

2812

Fig. 28

[Instance of Arm]

ShortDescription	Arm A ~ 2710	EditingComments	Editorial change
LongDescription	Arm A: Gemcitabine and Irinotecan HCI (CPT-11)	ObsoleteStatus	
Visits	<input type="checkbox"/> Screening ~ 2712 <input type="checkbox"/> Arm A, Day 1 ~ 2722 <input type="checkbox"/> Arm A, Day 8 ~ 2724 <input type="checkbox"/> Arm A, Day 15, Rest ~ 2726 <input type="checkbox"/> End of Treatment ~ 2718 <input type="checkbox"/> Follow-up Visit ~ 2720	<input type="checkbox"/> IsObsolete <input type="checkbox"/> DrillDown	

Fig. 29

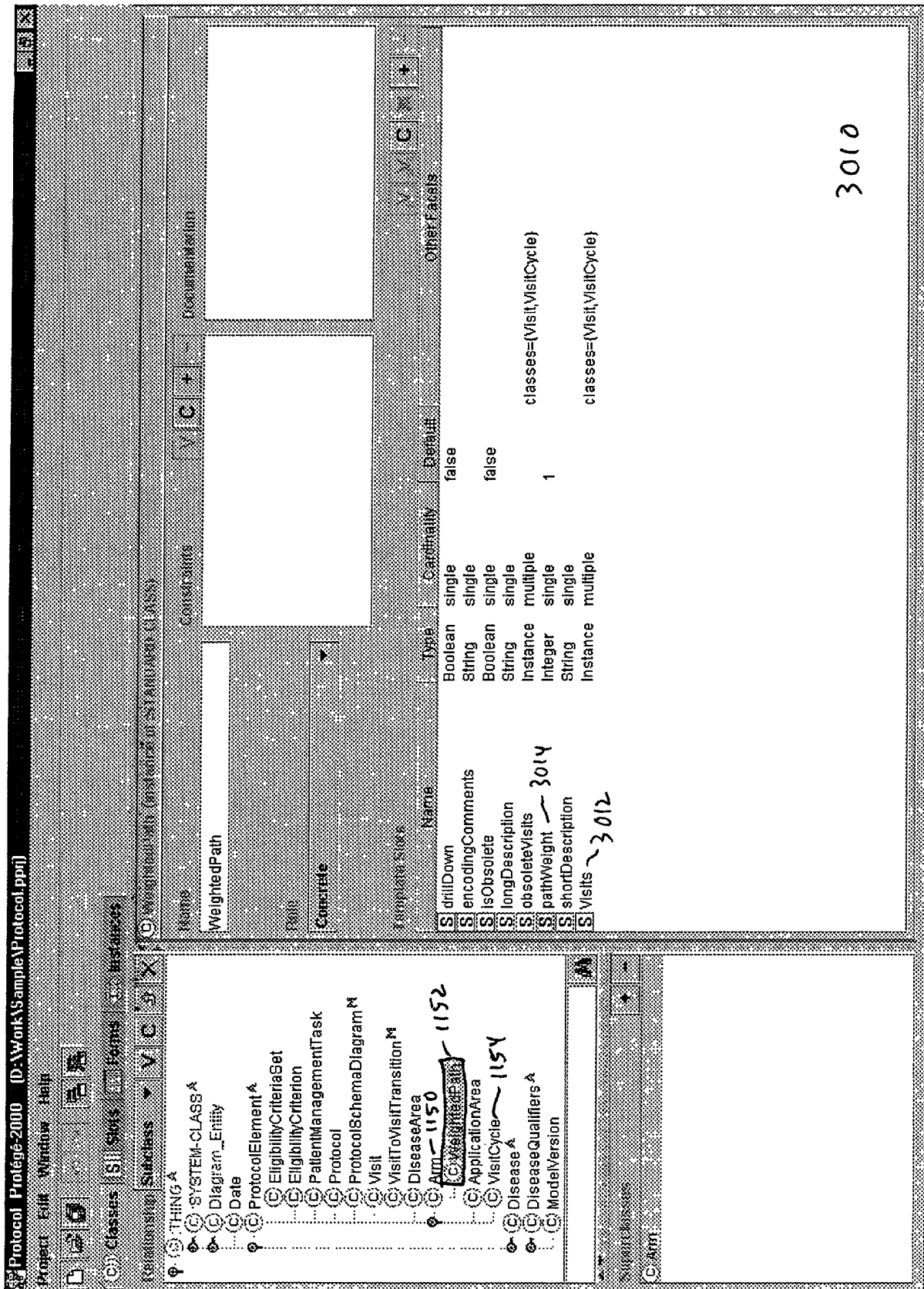


Fig. 30

3110

[instance of WeightedPath]

Study Name

Study Type

Study ID

Study Description

Study Weight

Study Path

Study Comments

Study Is Obsolete

Study Drill Down

Protocol Protégé 2000 (D:\Work\Sample\Protocol.ppt)

Project Edit Window Help

Classes [S] States [T] Forms [A] End notes

Relationships Subclass V C X

Thing A

- SYSTEM-CLASS A
- Diagram_Entity
- Date
- ProtocolElement A
- EligibilityCriteriaSet
- EligibilityCriterion
- PatientManagementTask
- Protocol
- ProtocolSchemaDiagram M
- Visit
- VisitToVisitTransition M
- DiseaseArea
- Arm 1150
- WeightedPath 1152
- ApplicationArea
- Visit 1154
- Disease A
- DiseaseQualifiers A
- ModelVersion

Summaries

ProtocolElement A

Name VisitCycle

Generalization

Other Facets

Name	Type	Cardinality	Default
cycleCount	Integer	single	1
drillDown	Boolean	single	false
encodingComments	String	single	
isObsolete	Boolean	single	false
longDescription	String	single	
shortDescription	String	single	
visitsInCycle	Instance	multiple	

classes={Visit,VisitCycle}

3210

Fig. 32

2736

[instance of VisitCycle]	
ShortDescription	VisitCycle
Arm A Cycle	Arm A, Day 1 ~ 2722 Arm A, Day 8 ~ 2724 Arm A, Day 15, Rest ~ 2726
LongDescription	
Encoding Comments	CycleCount
<input type="checkbox"/> DrillDown	<input type="checkbox"/> isObsolete
	3

Fig. 33

Lack of specific bounds on 1st MSFC relative to Randomization (Disambiguation Comment)	
Short Description <div>Lack of specific bounds on 1st MSFC relative to Randomization</div>	<div> <div>ConceptualProtocolSection</div> <div> <div>V</div> <div>C</div> <div>-</div> </div> </div> <div> Timing of Events Screening Assessments Study Flow Chart </div>
NOTE to ANALYSTS: please assoc text w/ each DocReference PRN <div></div>	
Issue <div>The time window around the first practice test for MSFC really must happen at least 11 days before randomization, in order for the next two tests to occur at least 5 days apart from each other. This upper bound on the time window is not specified.</div>	<div> <div>DocumentReferences</div> <div> <div>V</div> <div>C</div> <div>+</div> <div>-</div> </div> </div> <div> <div>32</div> <div>31</div> </div>
Potential Impact <div>The first MSFC practice test could be scheduled at a time that would not allow the subsequent tests to be completed within the constraints of the protocol, producing protocol violations.</div>	<div> <div>Impact Type</div> <div> <div>V</div> <div>C</div> <div>-</div> </div> </div> <div>Efficacy-primary</div>
Recommendation <div>Change "(Within 35 days of randomization)" for first practice test (MSFC) to say "(Between 35 and 11 days of randomization)."</div>	

Fig. 34

920

3610

DocumentReference

Name: DocumentReference

Role: Concrete

Documentation:

Constraints:

Template Slots:

Name	Type	Cardinality	Other Facets
S addIDocRefInfo	String	single	
S disambiguationComments	Instance	multiple	classes=(DisambiguationComment)
S drillDown	Boolean	single	default={false}
S encodingComments	String	single	
S literalSponsorSectionName	String	single	
S longDescription	String	single	
S pageNumber	String	single	
S protocolText	String	single	
S sectionReferenceNumber	String	single	
S shortDescription	String	required single	

Fig. 36

31 (DocumentReference)	
PageNumber	SectionReferenceNumber
31	11.1.2
LiteralSponsorSectionName	AddDocRefInfo
Visual Function and MSFC Practice Tests	Examining Technician instructions
ProtocolText	
"...performed three times within 35 days prior to randomization, with at least 5 days between any two evaluations."	
EncodingComments	

Fig. 37

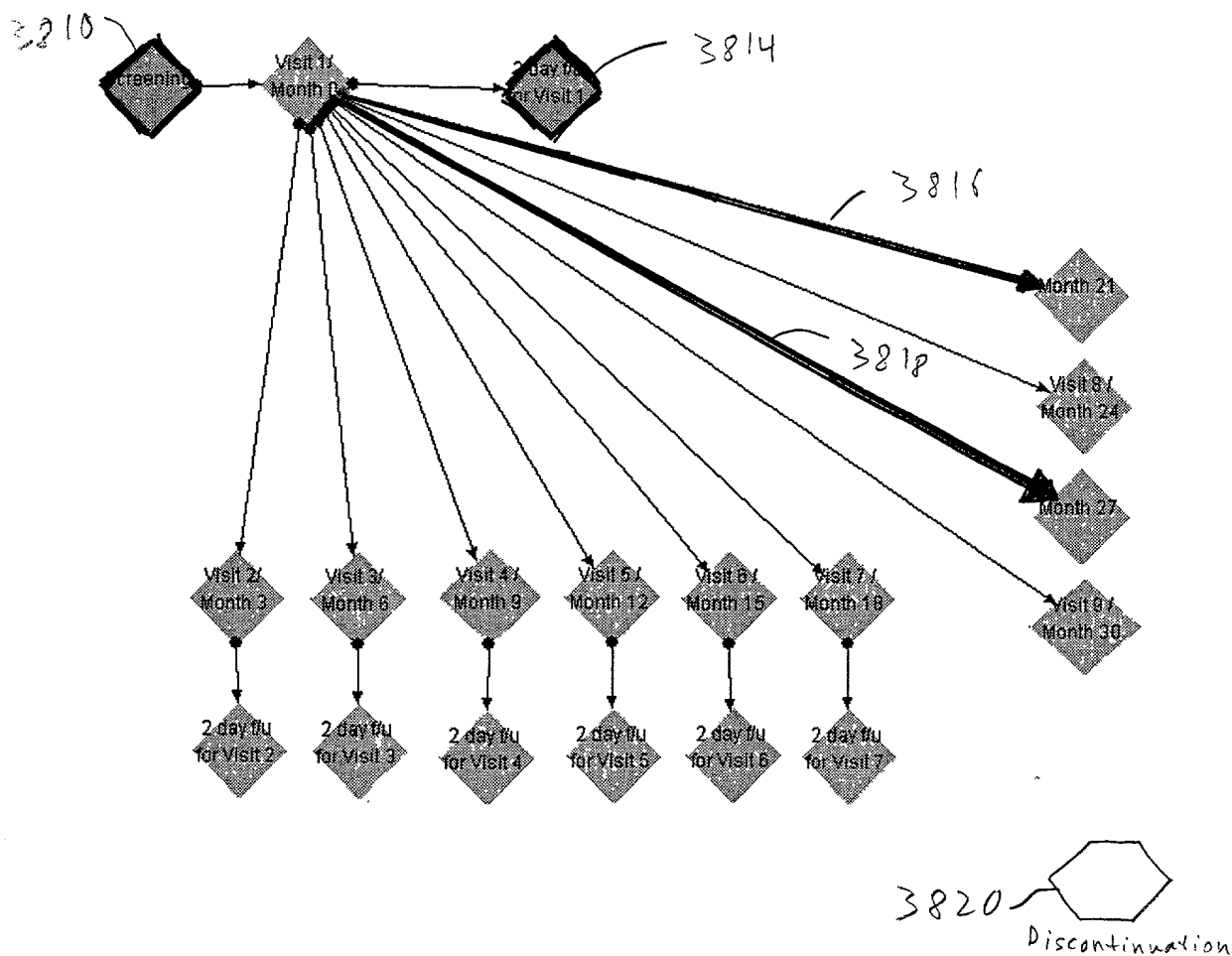


Fig. 38

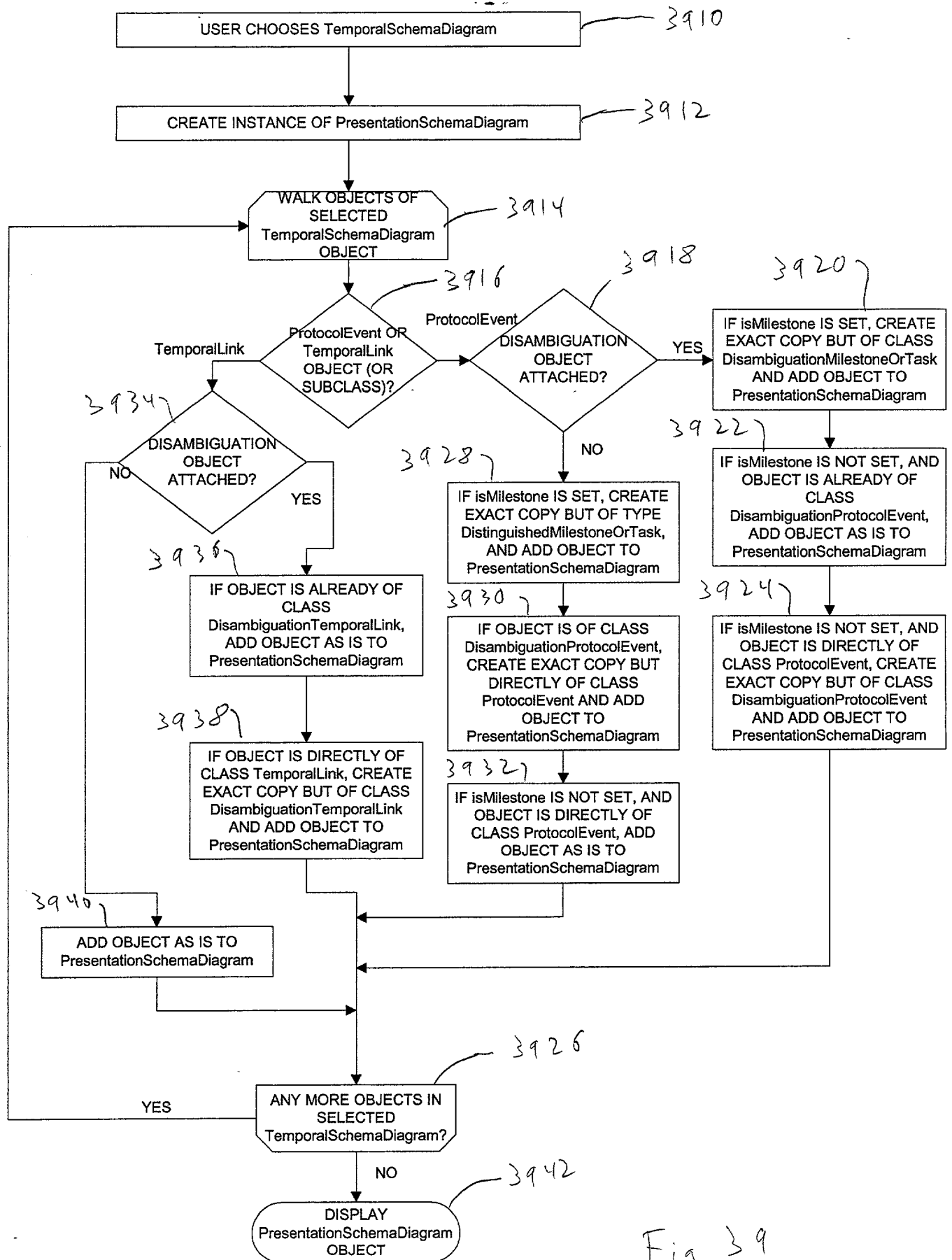


Fig. 39

DISAMBIGUATION FINDINGS

Item	Impact Type	Protocol Section	Description	Document Reference
1	Safety Efficacy- primary Efficacy- secondary	Protocol Summary Study Flow Chart	<p>Issue:</p> <p>The description in the Protocol Synopsis of when assessments should be performed after 16 weeks is not consistent with Appendix I Schedule of Assessments.</p> <p>Potential Impact:</p> <p>Confusion as to when to perform these evaluations (clinical parameters and safety assessments) could result in inconsistent and inaccurate collection of data for the study.</p> <p>Recommendation:</p> <p>Revise sentence in the Protocol Synopsis to read, "After 16 weeks these evaluations will be performed every two to "four" months..." in order to be consistent with the timepoints indicated in Appendix I Schedule of Assessments.</p>	<p>Pg. 12; Section <i>Protocol Synopsis</i>; Procedure; Paragraph 6:</p> <p>"Clinical parameters (ACR core set) and safety assessments (adverse events and laboratory parameters) will be performed at baseline and then at monthly intervals up to 16 weeks. After 16 weeks these evaluations will be performed every two to three months, up to 104 weeks."</p>

Fig. 40

Item	Impact Type	Protocol Section	Description	Document Reference
4	Safety Accrual	Screening Assessments Study Flow Chart	<p>Issue:</p> <p>The protocol text specifies that if an analysis with evidence of seropositivity was performed within 6 months before screening, then rheumatoid factor testing will not have to be performed at screening. However, this is not noted in Appendix I Schedule of Assessments.</p> <p>Potential Impact:</p> <p>Unnecessary analysis performed at screening.</p> <p>Recommendation:</p> <p>Add a footnote to the Rheumatoid Factor assessment in Appendix I to clarify that documented evidence of seropositivity is acceptable as screening data if obtained within 6 months before screening.</p>	<p>Pg. 28; Section 8.6.2; Rheumatoid Factor:</p> <p>"Unless there is documented evidence of rheumatoid factor titre within 6 months before screening a blood sample for this analysis will be taken."</p> <p>Pg. 41; Section Appendix I; Schedule of Assessments</p>

Fig. 41